



UNITED STATES DEPARTMENT OF COMMERCE  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/244,291 02/03/99 LEWALLEN S \$19.98.083

021127 0212/0222  
KUDIRKA & JOBSE  
TWO CENTER PLAZA  
BOSTON MA 02108  
NOT ASSIGNED

2751  
DATE MAILED:

02/22/99

### NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☒ non-small entity is \$ 130.00.

- ☐ 1. The statutory basic filing fee is:  
☐ missing.  
☒ insufficient.  
Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- ☒ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.  
\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.  
\$ \_\_\_\_\_ for \_\_\_\_\_ dependent claims over 20.  
\$ \_\_\_\_\_ for multiple dependent claim surcharge.  
Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.
- ☒ 3. The oath or declaration:  
☒ is missing or unexecuted.  
☐ does not cover the newly submitted items.  
☐ does not identify the application to which it applies.  
☐ does not include the city and state or foreign country of applicant's residence.  
An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.
- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.  
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).  
☐ 7. Your filing receipt was mailed in error because your check was returned without payment.  
☐ 8. The application does not comply with the Sequence Rules.  
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."  
☒ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

1371999 HMRP01 00000210 09244291

FC:105

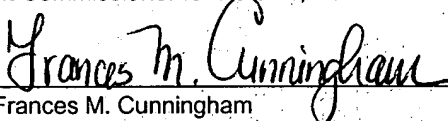


Sub 2 #  
#3

<b>MISSING PARTS TRANSMITTAL</b>	Docket No. ST9-98-083
Applicant: Stephen Lewallen Serial No.: 09/244,291 Filed: February 3, 1999 For: METHOD AND APPARATUS FOR PROVIDING PROTOCOL INDEPENDENT NAMING AND LIFE CYCLE SERVICES IN AN OBJECT- ORIENTED SYSTEM Examiner: Not Yet Assigned Art Unit: 2751	

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Assistant Commissioner for Patents, Box Missing Parts, Washington, DC 20231 on April 8, 1999

  
Frances M. Cunningham

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, DC 20231

**Enclosures**

- ☒ Copy of Notice to File Missing Parts of Application *Filing Date Granted*  
☒ Signed Declaration  
☒ Assignment  
☐ Petition for Month Extension of Time

**Small Entity**

- ☐ A small entity statement under 37 C.F.R. §1.27 is attached.  
☐ A small entity statement under 37 C.F.R. §1.27 has already been filed.

**Fees**

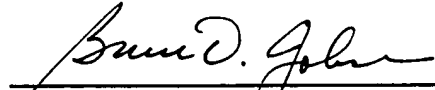
Surcharge for Missing Parts	\$ 130.00
Filing Fee	\$0.00
Extension of Time	\$ 0.00
Reduction by one-half for Small Entity	\$ 0.00
Assignment Recording Fee	\$ 40.00
<b>Total Fee</b>	<b>\$ 170.00</b>

**Payment**

- ☒ A check in the amount of the total fee is enclosed.  
☐ The Commissioner is hereby authorized to charge the total fee to Deposit Account No 02-3038. A duplicate of this sheet is attached.

The Commissioner is hereby authorized to charge any other fees under 37 C.F.R. §1.16 and §1.17 that may be required, or credit any overpayment, to our Deposit Account No. 02-3038.

Respectfully submitted,



Date: \_\_\_\_\_

4/8/99

Bruce D. Jobse, Esq. Reg. No. 33,518

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Customer Number 021127

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